



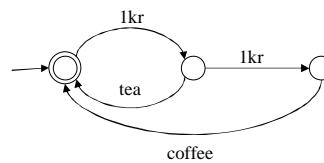
CCS: Processes and Equivalences

Reading: Peled 8.1, 8.2, 8.5

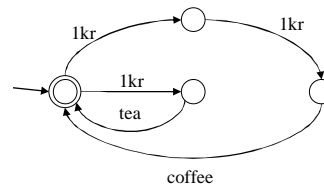
Mads Dam

Finite State Automata

- Coffee machine A_1 :



- Coffee machine A_2 :



- Are the two machines "the same"?

CCS

Calculus of concurrent processes

Main issues:

- How to specify concurrent processes in an abstract way?
- Which are the basic relations between concurrency and non-determinism?
- Which basic methods of construction (= operators) are needed?
- When do two processes behave differently?
- When do they behave the same?
- Rules of calculation:
 - Replacing equals for equals
 - Substitutivity
- Specification and modelling issues

Process Equivalences

Sameness of behaviour = equivalence of states

Many process equivalences have been proposed (cf. Peled 8.5)

For instance: $q_1 \sim q_2$ iff

- q_1 and q_2 have the same paths, *or*
- q_1 and q_2 may always refuse the same interactions, *or*
- q_1 and q_2 pass the same tests, *or*
- q_1 and q_2 satisfy the same temporal formulas, *or*
- q_1 and q_2 have identical branching structure

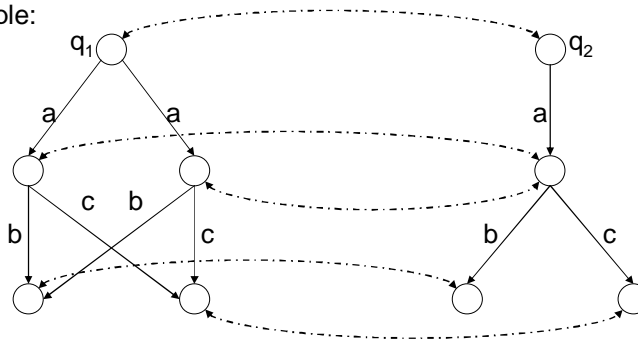
CCS: Focus on bisimulation equivalence

Bisimulation Equivalence

Intuition: $q_1 \sim q_2$ iff q_1 and q_2 have same branching structure

Idea: Find relation which will relate two states with the same transition structure, and make sure the relation is preserved

Example:



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2G1516/2G1521 Formal Methods

Strong Bisimulation Equivalence

Given: Labelled transition system $T = (Q, \Sigma, R)$

Looking for a relation $S \subseteq Q \times Q$ on states

S is a *strong bisimulation relation* if whenever $q_1 S q_2$ then:

- $q_1 \xrightarrow{\alpha} q_1'$ implies $q_2 \xrightarrow{\alpha} q_2'$ for some q_2' such that $q_1' S q_2'$
- $q_2 \xrightarrow{\alpha} q_2'$ implies $q_1 \xrightarrow{\alpha} q_1'$ for some q_1' such that $q_1' S q_2'$

q_1 and q_2 are *strongly bisimilar* iff $q_1 S q_2$ for some strong bisimulation relation S

$q_1 \sim q_2$: q_1 and q_2 are strongly bisimilar

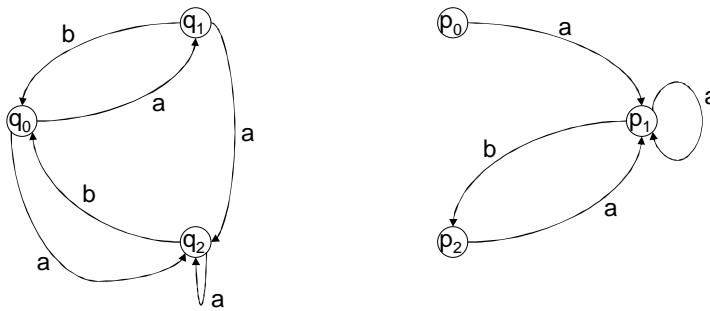
Peled uses \equiv_{bis} for \sim

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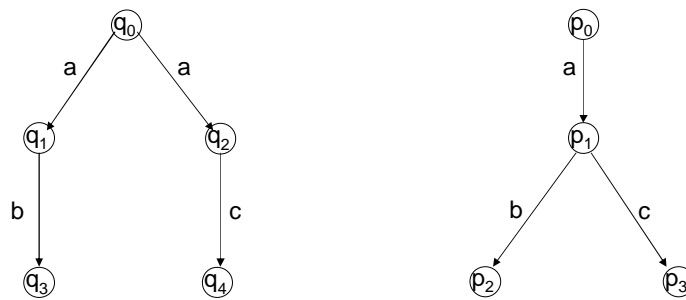
2G1516/2G1521 Formal Methods

Example



Does $q_0 \sim p_0$ hold?

Example



Does $q_0 \sim p_0$ hold?

Weak Transitions

What to do about internal activity?

τ : Transition label for activity which is not externally visible

- $q \Rightarrow^\varepsilon q'$ iff $q = q_0 \rightarrow^\tau q_1 \rightarrow^\tau \dots \rightarrow^\tau q_n = q'$, $n \geq 0$
- $q \Rightarrow^\tau q'$ iff $q \Rightarrow^\varepsilon q'$
- $q \Rightarrow^\alpha q'$ iff $q \Rightarrow^\varepsilon q_1 \rightarrow^\alpha q_2 \Rightarrow^\varepsilon q'$ ($\alpha \neq \tau$)

Beware that $\Rightarrow^\tau = \Rightarrow^\varepsilon$ (non-standard notation)

Observational equivalence, v.1.0: Bisimulation equivalence with \Rightarrow in place of \rightarrow

Let $q_1 \approx' q_2$ iff $q_1 \sim q_2$ with \Rightarrow^α in place of \rightarrow^α

Cumbersome definition: Too many transitions $q \Rightarrow^\alpha q'$ to check

Observational Equivalence

Let $S \subseteq Q \times Q$. The relation S is a *weak bisimulation relation* if whenever $q_1 S q_2$ then:

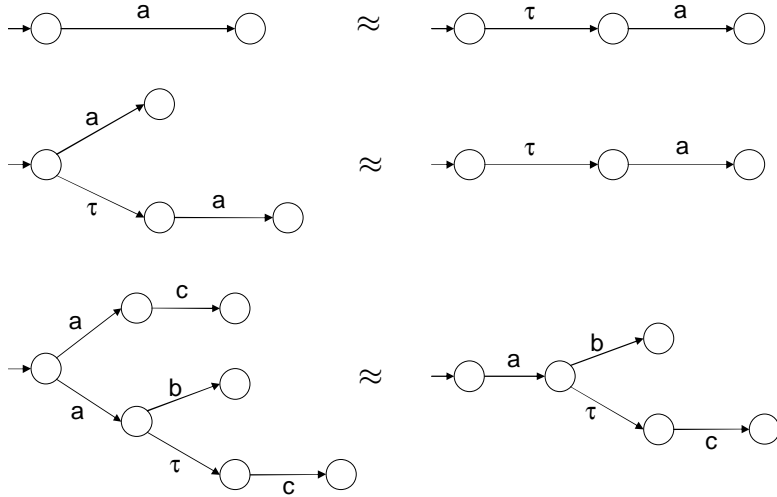
- $q_1 \rightarrow^\alpha q_1'$ implies $q_2 \Rightarrow^\alpha q_2'$ for some q_2' such that $q_1' S q_2'$
- $q_2 \rightarrow^\alpha q_2'$ implies $q_1 \Rightarrow^\alpha q_1'$ for some q_1' such that $q_1' S q_2'$

q_1 and q_2 are *observationally equivalent*, or *weakly bisimulation equivalent*, if $q_1 S q_2$ for some weak bisimulation relation S

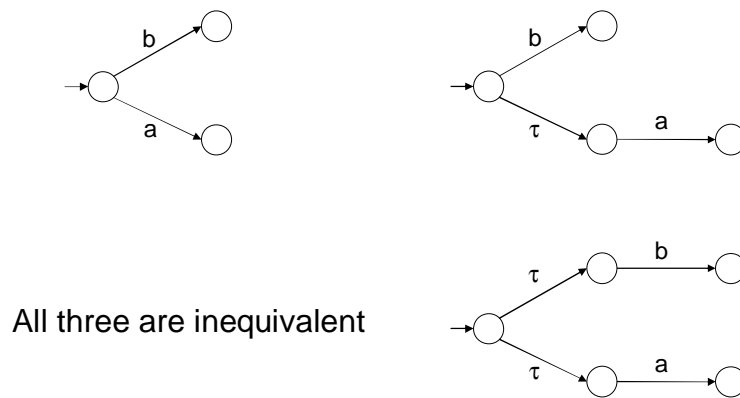
$q_1 \approx q_2$: q_1 and q_2 are observationally equivalent/weakly bisimilar

Exercise: Show that $\approx' = \approx$

Examples



Examples



All three are inequivalent

Calculus of Communicating Systems - CCS

Language for describing communicating transition systems

Behaviours as algebraic terms

Calculus: Centered on observational equivalence

Elegant mathematical treatment

Emphasis on process structure and modularity

Recent extensions to security and mobile systems

- CSP - Hoare: Communicating Sequential Processes (85)
- ACP - Bergstra and Klop: Algebra of Communicating Processes (85)
- CCS - Milner: Communication and Concurrency (89)
- Pi-calculus – Milner (99), Sangiorgi and Walker (01)
- SPI-calculus – Abadi and Gordon (99)
- Many recent successor for security and mobility (more in 2G1517)

CCS - Combinators

The idea: 7 elementary ways of producing or putting together labelled transition systems

Pure CCS:

- Turing complete – can express any Turing computable function

Value-passing CCS:

- Additional operators for value passing
- Definable
- Convenient for applications

Here only a taster

Actions

Names a, b, c, d, \dots

Co-names: $\bar{a}, \bar{b}, \bar{c}, \bar{d}, \dots$

- Sorry: Overbar not good in texpoint!
- $\bar{\bar{a}} = a$

In CCS, names and co-names synchronize

Labels l : Names \cup co-names

$\alpha \in \text{Actions} = \Sigma = \text{Labels} \cup \{\tau\}$

Define $\bar{\alpha}$ by:

- $\bar{\bar{l}} = l$, and
- $\bar{\tau} = \tau$

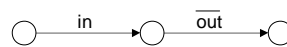
CCS Combinators, II

Nil 0

No transitions

Prefix $\alpha.P$

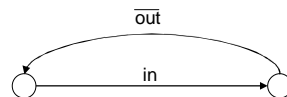
$\text{in}.\bar{\text{out}}.0 \rightarrow^{\text{in}} \text{out}.0 \rightarrow^{\bar{\text{out}}} 0$



Definition $A == P$

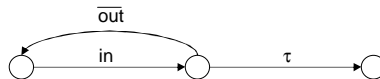
Buffer $== \text{in}.\bar{\text{out}}.\text{Buffer}$

$\text{Buffer} \rightarrow^{\text{in}} \text{out}.\text{Buffer} \rightarrow^{\bar{\text{out}}} \text{Buffer}$



CCS Combinators, Choice

Choice $P + Q$ $\text{BadBuf} == \text{in}.\tau.0 + \overline{\text{out}}.\text{BadBuf}$
 $\text{BadBuf} \xrightarrow{\text{in}} \tau.0 + \overline{\text{out}}.\text{BadBuf}$
 $\xrightarrow{\tau} 0$ **or**
 $\xrightarrow{\overline{\text{out}}} \text{BadBuf}$



Obs: No priorities between τ 's, a 's or \bar{a} 's

CCS doesn't "know" which labels represent input, and which output

May use Σ notation: $\sum_{i \in \{1,2\}} \alpha_i.P_i = \alpha_1.P_1 + \alpha_2.P_2$

Example: Boolean Buffer

2-place Boolean Buffer

$\text{Buf}^2 == \text{in}_0.\text{Buf}^2_0 + \text{in}_1.\text{Buf}^2_1$

$\text{Buf}^2_0 == \text{out}_0.\text{Buf}^2 +$
 $\text{in}_0.\text{Buf}^2_{00} + \text{in}_1.\text{Buf}^2_{01}$

Buf^2 : Empty 2-place buffer

$\text{Buf}^2_1 == \dots$

Buf^2_0 : 2-place buffer holding a 0

$\text{Buf}^2_{00} == \text{out}_0.\text{Buf}^2_0$

Buf^2_1 : Do. holding a 1

$\text{Buf}^2_{01} == \text{out}_0.\text{Buf}^2_1$

Buf^2_{00} : Do. Holding 00

$\text{Buf}^2_{10} == \dots$

... etc. ...

$\text{Buf}^2_{11} == \dots$

Example: Scheduler

a_i : start task _{i}

b_i : stop task _{i}

Requirements:

1. a_1, \dots, a_n to occur cyclically
2. a_i/b_i to occur alternately beginning with a_i
3. Any a_i/b_i to be schedulable at any time, provided 1 and 2 not violated

Let $X \subseteq \{1, \dots, n\}$

Sched _{i, X} :

- i to be scheduled
- X pending completion

Scheduler == Sched_{1, \emptyset}

Sched _{i, X}

== $\sum_{j \in X} b_j \cdot \text{Sched}_{i, X - \{j\}}$, if $i \in X$

== $\sum_{j \in X} b_j \cdot \text{Sched}_{i, X - \{j\}}$

+ $a_i \cdot \text{Sched}_{i+1, X \cup \{i\}}$, if $i \notin X$

Example: Counter

Basic example of infinite-state system

Count == Count₀

Count₀ == zero.Count₀ + inc.Count₁

Count _{$i+1$} == inc.Count _{$i+2$} + dec.Count _{i}

Can do stacks and queues equally easy – try it!

CCS Combinators, Composition

Composition $P | Q$

$\text{Buf}_1 == \text{in.comm.Buf}_1$

$\text{Buf}_2 == \overline{\text{comm.out.Buf}_2}$

$\text{Buf}_1 | \text{Buf}_2$

$\rightarrow^{\text{in}} \text{comm.Buf}_1 | \text{Buf}_2$

$\rightarrow^{\tau} \text{Buf}_1 | \text{out.Buf}_2$

$\rightarrow^{\text{out}} \text{Buf}_1 | \text{Buf}_2$

But also, for instance:

$\text{Buf}_1 | \text{Buf}_2$

$\rightarrow^{\overline{\text{comm}}} \text{Buf}_1 | \text{out.Buf}_2$

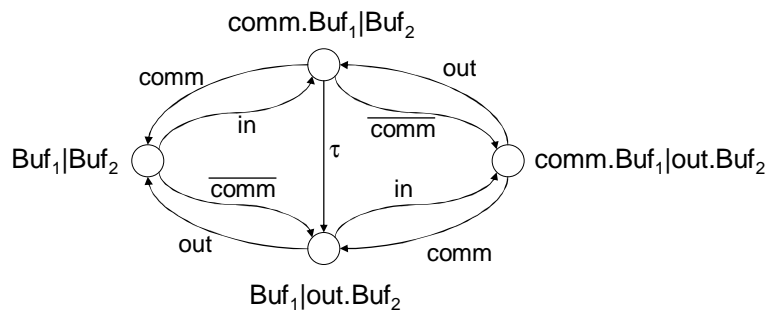
$\rightarrow^{\text{out}} \text{Buf}_1 | \text{Buf}_2$

Composition, Example

$\text{Buf}_1 == \text{in.comm.Buf}_1$

$\text{Buf}_2 == \overline{\text{comm.out.Buf}_2}$

$\text{Buf}_1 | \text{Buf}_2:$



CCS Combinators, Restriction

Restriction $P \setminus L$

$$\begin{aligned} \text{Buf}_1 &== \text{in.comm.Buf}_1 \\ \text{Buf}_2 &== \overline{\text{comm.out.Buf}_2} \\ (\text{Buf}_1 \mid \text{Buf}_2) \setminus \{\text{comm}\} \\ &\rightarrow^{\text{in}} \text{comm.Buf}_1 \mid \text{Buf}_2 \\ &\rightarrow^{\tau} \text{Buf}_1 \mid \text{out.Buf}_2 \\ &\rightarrow^{\text{out}} \text{Buf}_1 \mid \text{Buf}_2 \end{aligned}$$

But *not*:

$$\begin{aligned} &(\text{Buf}_1 \mid \text{Buf}_2) \setminus \{\text{comm}\} \\ &\rightarrow^{\text{comm}} \text{Buf}_1 \mid \text{out.Buf}_2 \\ &\rightarrow^{\text{out}} \text{Buf}_1 \mid \text{Buf}_2 \end{aligned}$$

CCS Combinators, Relabelling

Relabelling $P[f]$

$$\begin{aligned} \text{Buf} &== \text{in.}\overline{\text{out.Buf}}_1 \\ \text{Buf}_1 &== \text{Buf}[\text{comm}/\text{out}] \\ &= \text{in.}\overline{\text{comm}}.\text{Buf}_1 \\ \text{Buf}_2 &== \text{Buf}[\text{comm}/\text{in}] \\ &= \text{comm.out.Buf}_2 \end{aligned}$$

Relabelling function f must preserve complements:

$$f(\bar{a}) = \overline{f(a)}$$

And τ :

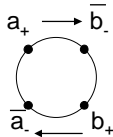
$$f(\tau) = \tau$$

Relabelling function often given by name substitution as above

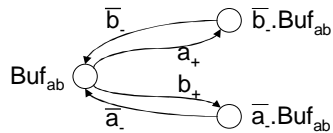
Example: 2-way Buffers

1-place 2-way buffer:
 $\text{Buf}_{ab} == a_+.\bar{b}_-.\text{Buf}_{ab} + b_+.\bar{a}_-.\text{Buf}_{ab}$

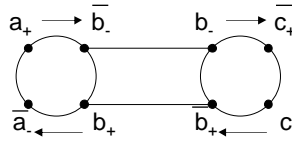
Flow graph:



LTS:



$\text{Buf}_{bc} ==$
 $\text{Buf}_{ab}[c_+/b_+, c_-/b_-, b_+/a_+, b_-/a_-]$
 (Obs: Simultaneous substitution!)
 $\text{Sys} = (\text{Buf}_{ab} \mid \text{Buf}_{bc}) \setminus \{b_+, b_-\}$
 Intention:



What went wrong?

Transition Semantics

To apply observational equivalence need a formalised semantics

Each CCS expression \rightarrow state in LTS derived from that expression

Compositionality: Construction of LTS follows expression syntax

Inference rules:

$$\frac{P_1 \rightarrow^\alpha P_2}{P_1 \mid Q \rightarrow^\alpha P_2 \mid Q}$$

Meaning: For all P_1, P_2, Q, α , if there is an α transition from P_1 to P_2 then there is an α transition from $P_1 \mid Q$ to $P_2 \mid Q$

CCS Transition Rules

(no rule for 0!) **Prefix** $\frac{-}{\alpha.P \rightarrow^\alpha P}$ **Def** $\frac{P \rightarrow^\alpha Q}{A \rightarrow^\alpha Q} (A == P)$

Choice_L $\frac{P \rightarrow^\alpha P'}{P+Q \rightarrow^\alpha P'}$ **Choice_R** $\frac{Q \rightarrow^\alpha Q'}{P+Q \rightarrow^\alpha Q'}$

Com_L $\frac{P \rightarrow^\alpha P'}{P|Q \rightarrow^\alpha P'|Q}$ **Com_R** $\frac{Q \rightarrow^\alpha Q'}{P|Q \rightarrow^\alpha P|Q'}$ **Com** $\frac{P \rightarrow^! P' \quad Q \rightarrow^{\bar{!}} Q'}{P|Q \rightarrow^\tau P'|Q'}$

Restr $\frac{P \rightarrow^\alpha P'}{P \setminus L \rightarrow^\alpha P' \setminus L} (\alpha, \bar{\alpha} \notin L)$ **Rel** $\frac{P \rightarrow^\alpha P'}{P[f] \rightarrow^{f(\alpha)} P'[f]}$

CCS Transition Rules, II

Closure assumption: \rightarrow^α is least relation closed under the set of rules

Example derivation:

$$\begin{aligned} \text{Buf}_1 &== \text{in}.\overline{\text{comm}}.\text{Buf}_1 \\ \text{Buf}_2 &== \text{comm}.\overline{\text{out}}.\text{Buf}_2 \\ (\text{Buf}_1 \mid \text{Buf}_2) \setminus \{\text{comm}\} \\ &\rightarrow^{\text{in}} \overline{\text{comm}}.\text{Buf}_1 \mid \text{Buf}_2 \\ &\rightarrow^\tau \text{Buf}_1 \mid \overline{\text{out}}.\text{Buf}_2 \\ &\rightarrow^{\overline{\text{out}}} \text{Buf}_1 \mid \text{Buf}_2 \end{aligned}$$

Example: Semaphores

Semaphore:

Unary semaphore: 

$$S^1 == p.S^1_1$$

$$S^1_1 == v.S^1$$

Binary semaphore:

$$S^2 == p.S^2_1$$

$$S^2_1 == p.S^2_2 + v.S^2$$

$$S^2_2 == v.S^2_1$$

Result:

$$S^1 \mid S^1 \sim S^2$$

Proof: Show that

$$\{(S^1 \mid S^1, S^2),$$

$$(S^1_1 \mid S^1, S^2_1),$$

$$(S^1 \mid S^1_1, S^2_1),$$

$$(S^1_1 \mid S^1_1, S^2_2)\}$$

is a strong bisimulation relation

Example: Simple Protocol

$$\text{Spec} == \text{in}.\overline{\text{out}}.\text{Spec}$$

$$\text{Sender} == \text{in}.\overline{\text{Transmit}}$$

$$\text{Transmit} == \text{transmit}.\overline{\text{WaitAck}}$$

$$\text{WaitAck} == \text{ack}_+.\text{Sender} + \text{ack}_-.\text{Transmit}$$

$$\text{Receiver} == \text{transmit}.\overline{\text{Analyze}}$$

$$\text{Analyze} == \tau.\overline{\text{out}}.\text{ack}_+.\text{Receiver} + \tau.\overline{\text{ack}}_-. \text{Receiver}$$

$$\text{Protocol} == (\text{Sender} \mid \text{Receiver}) \setminus \{\text{transmit}, \text{ack}_+, \text{ack}_-\}$$

Exercise: Prove $\text{Spec} \approx \text{Protocol}$

Example: Jobshop

i_E : input of easy job
 i_N : input of neutral job
 i_D : input of difficult job
 O : output of finished product

$A == i_E.A' + i_N.A' + i_D.A'$
 $A' == \bar{o}.A$

Spec = A | A

Hammer: $H == gh.ph.H$

Mallet: $M == gm.pm.M$

Jobber:

$J == \sum_{x \in \{E,N,D\}} i_x.J_x$

$J_E == o.J$

$J_N == \overline{gh}.ph.J_E + \overline{gm}.pm.J_E$

$J_D == \overline{gh}.ph.J_E$

Jobshop ==
 $(J | J | H | M) \setminus \{gh, ph, gm, pm\}$

Theorem:

Spec \approx Jobshop

Exercise: Prove this.

Proving Equivalences

The bisimulation proof method:

To establish $P \approx Q$:

1. Identify a relation S such that $P S Q$
2. Prove that S is a weak bisimulation relation

This is the canonical method

There are other methods for process verification:

- Equational reasoning
- Temporal logic specification/proof/model checking